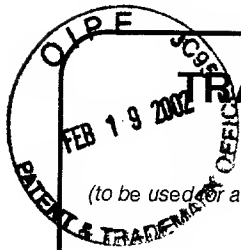


Please type a plus sign (+) inside this box → ☐**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/994,490			
		Filing Date November 26, 2001	
		First Named Inventor Hu et al.	
		Group Art Unit Unassigned	
		Examiner Name Unassigned	
Total Number of Pages in This Submission	14	Attorney Docket Number	29250-000601

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Gary D. Yacura	Reg. No.	35,416
Signature					
Date	January 10, 2002				

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.			
Typed or printed name	Kimberly M. Hutchins		
Signature		Date	January 10, 2002



**COPY OF PAPERS
ORIGINALLY FILED**

BOX MISSING PARTS
PATENT
29250-000601

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Hu et al.
Appl. No.: 09/994,490 Group: Unassigned
Filed: November 26, 2001 Examiner: Unassigned
For: METHOD AND APPARATUS FOR TRANSMITTING AND
RECEIVING DATA PACKETS TO AVOID STALL DURING RE-
SEQUENCING OF DATA PACKETS

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Date: January 10, 2002

Sir:

The application papers for the above-identified application were originally filed on November 26, 2001 and the application was assigned Appl. No. 09/994,490.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☐ original ☒ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification

that was filed in the U.S. Patent and Trademark office on _____, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 29250-000601 on page 1 of the inventors' Declaration, filed on November 26, 2001, corresponds to Appl. No. 09/994,490, filed November 26, 2001, entitled "METHOD AND APPARATUS FOR TRANSMITTING AND RECEIVING DATA PACKETS TO AVOID STALL DURING RE-SEQUENCING OF DATA PACKETS."

☐ Attached are two (2) sheet(s) of formal drawings.

☒ Attached is a copy of Form PTO-1533.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☒ Large Entity - \$130.00;
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).

☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Application (Form PTO-1533). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☐ Applicant hereby respectfully petitions for a ____ () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. Please charge the required fee of \$____ to Deposit Account No. 08-0750.

☐ A check in the amount of \$0.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

☒ Please charge Deposit Account No. **08-0750** in the amount of \$130.00. A triplicate copy of this transmittal form is enclosed.

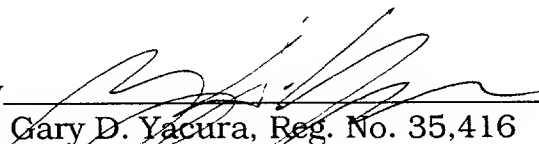
DRAWINGS

Formal Drawings were filed December 17, 2001, which comply with Rule 84 as required on the Notice to File Missing Parts.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. **08-0750** for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

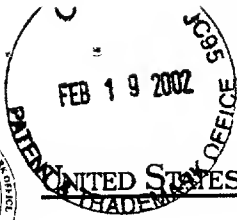
HARNES, DICKEY & PIERCE, P.L.C.

By 
Gary D. Yacura, Reg. No. 35,416

P.O. Box 8910
Reston, VA 20195-8910
(703) 390-3030

GDY:kmh
29250-000601

Attachments

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,490	11/26/2001	Teck H. Hu	29250-000601

CONFIRMATION NO. 1107

FORMALITIES LETTER



OC000000007210990

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 8910
Reston, VA 20195

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

02/25/2002 RHARIS1 00000096 080750 09994490
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE